

Catalan Numbers

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1	1	2	5	14	42	132	429	1430	4862	16796

On-Line Encyclopedia of Integer Sequences, <http://oeis.org/>

$$c_n = \frac{1}{n+1} \binom{2n}{n}.$$

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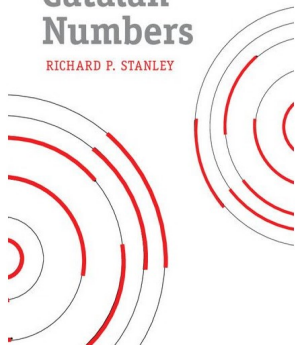
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RICHARD P. STANLEY

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triangulations
of an $(n+2)$ -gon

lattice paths from $(0,0)$
to (n,n) above $y = x$

sequences with $n+1$'s, $n-1$'s
with positive partial sums

multiplication schemes
to multiply $n+1$ numbers

Catalan Number Interpretations

When $n = 3$, there are $c_3 = 5$ members of these families of objects:

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3. Sequences of length $2n$ with $n + 1$'s and $n - 1$'s such that every partial sum is ≥ 0
4. Ways to multiply $n + 1$ numbers together two at a time.

Catalan Bijections

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Bijection 1:

triangulations of an $(n+2)$ -gon

 \longleftrightarrow

multiplication schemes to multiply $n+1$ numbers

Rule: Label all but one side of the $(n+2)$ -gon in order. Work your way in from the outside to label the interior edges of the triangulation: When you know two sides of a triangle, the third edge is the product of the two others. Determine the mult. scheme on the last edge.

Catalan Bijections

Bijection 2:

multiplication schemes to multiply $n + 1$ #'s

 \longleftrightarrow

seqs with $n + 1$'s, $n - 1$'s with positive partial sums
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Rule: Place dots to represent multiplications. Ignore everything except the dots and right parentheses. Replace the dots by $+1$'s and the parentheses by -1 's.

Catalan Bijections

Bijection 3:

seqs with n $+1$'s, n -1 's with positive partial sums
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 \longleftrightarrow

lattice paths $(0, 0)$ to (n, n) above $y = x$

A sequence of $+$'s and $-$'s converts to a sequence of N 's and E 's, which is a path in the lattice.

Catalan Numbers

The underlying reason why so many combinatorial families are counted by the Catalan numbers comes back to the **generating function equation** that $C(x)$ satisfies:

$$C(x) = 1 + xC(x)^2.$$

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Example.

triangulations
of an $(n+2)$ -gon

Here, x represents
one side of the polygon

Either the triangulation has a side or not.

1. No side: Empty triangulation (of *digon*): x^0 .
2. Every other triangulation has one side (x contribution) and is a **sequence of** two other triangulations $C(x)^2$.

Catalan Numbers

Example.

lattice paths $(0, 0)$ to
 (n, n) above $y = x$

Here, x represents an
up-step down-step pair.

Either the lattice path starts with a vertical step or not.

1. No step: Empty lattice path: x^0 .
2. Every other lattice path has one vertical step up from diag. and a first horizontal step returning to diag. (x contribution).
“Between the V & H steps” and “after the H step”
is a sequence of two lattice paths $C(x)^2$.

Therefore, $C(x) = 1 + xC(x)^2$.

A formula for the Catalan Numbers

Solve the generating function equation to find $C(x) = \frac{1 \pm \sqrt{1 - 4x}}{2x}$.

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Now extract coefficients to prove the formula for c_n .

Claim: $\sqrt{1 - 4x} = 1 + \sum_{k \geq 1} \frac{-2}{k} \binom{2(k-1)}{k-1} x^k$. (Next slide.)

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Conclusion.
$$\begin{aligned} \frac{1}{2x}(1 - \sqrt{1 - 4x}) &= -\frac{1}{2x} \sum_{k \geq 1} \frac{-2}{k} \binom{2(k-1)}{k-1} x^k \\ &= \sum_{k \geq 1} \frac{1}{k} \binom{2(k-1)}{k-1} x^{k-1} \\ &= \sum_{n \geq 0} \frac{1}{n+1} \binom{2n}{n} x^n \end{aligned}$$

Therefore, $c_n = \frac{1}{n+1} \binom{2n}{n}$.

Expansion of $\sqrt{1 - 4x}$

What is the power series expansion of $\sqrt{1 - 4x}$?

$$\sqrt{1 - 4x} = ((-4x) + 1)^{1/2} = \sum_{k=0}^{\infty} \binom{1/2}{k} (-4x)^k \quad \text{Expand } \binom{1/2}{k}$$

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